

## MK UNIVERSITY

– PATAN – GUJARAT –

ESTABLISHED BY THE GUJARAT GOVT.

RECOGNIZED BY UGC UNDER SECTION 2(f) OF UGC ACT,1956



# Post Doc Ordinances (D. Sc., D. Litt. & LL. D.)

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### Post Doc Ordinances

From Academic Session: 2024-25

Ordinances governing the award of the degree of Doctor of Science (D. Sc.), Doctor of Literature (D. Litt.), and Doctor of Laws (LL. D.)

MK UNIVERSITY Patan, Gujarat

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#### **Preamble:**

The Doctor of Science (D.Sc.), Doctor of Literature (D.Litt.) and Doctor of Laws (LL.D.) are the highest academic and research degrees of the University. The D.Sc. is in the disciplines of Science, Engineering, Technology, Pharmacy and other related areas. The D.Litt. Degree is in the disciplines of Humanities, Social Sciences, Business, Management, Design, Creative Arts and other related areas. The LL.D. Degree in the discipline of Law and other related areas. In all the above areas the awardee should have original and outstanding contributions to the advancement of knowledge.

#### O. PDD. 1: Short title, application and commencement:

- 1.1 This Ordinance shall be called the "MK University Doctor of Science (D.Sc.), Doctor of Literature (D.Litt.), and Doctor of Laws (LL.D.) Ordinance 2024. It shall come into force from the date of approval of the Academic Council (AC), Board of Management (BOM)
- 1.2 The D.Sc. /D.Litt. /LL.D. Degrees are the highest Post-Doctoral Degree (PDD) of the MK University.
- 1.3 The degree of D.Sc. /D.Litt. /LL.D. is of a higher standing than either a Master's degree or the degree of Doctor of Philosophy (Ph.D.) and is awarded for work that makes an original, substantial and distinguished contribution to knowledge in a field with which the faculty is concerned. Work submitted for the degree normally comprises publications that have appeared in the forms of books (international publishers of repute) or research papers in scholarly journals (of international impact) that are then presented in the form of a thesis. The degree will give the applicant authoritative standing in that field and the right to general recognition of this standing by scholars in the field.
- 1.4 The higher Post-Doctoral Programme leading to the degrees of D.Sc. /D.Litt. /LL.D. shall be offered in the following Schools/Subjects and inter-disciplinary Department/Subjects of the University:
  - (i) The University shall award D.Sc. Degree in the disciplines of Science, Engineering, Technology, Pharmacy and other related areas covered under the Disciplines of Science & Technology.

- (ii) The University shall award D.Litt. Degree in the disciplines of Business, Humanities, Social Sciences, Arts, Commerce & Management and other related areas covered under the Disciplines of Arts and Commerce.
- (iii) The University shall award LL. D. in the discipline of Law and other related areas covered under the School of Laws.
- 1.5 The degree of D.Sc. /D.Litt. /LL.D. of the MK University shall be conferred on the candidates who fulfill the requirements as specified in these ordinances.
- 1.6 The Regulations govern the conditions for imparting instructions for the courses, conduct of examinations and evaluation of scholars' performance leading to D.Sc. /D.Litt. /LL.D. degree. These Regulations will be effective for the batches of scholars admitted from the year 2024 onwards.
- 1.7 The provisions of these Regulations shall be applicable to any new disciplines/subject/School that are introduced from time to time and included to the above list, unless specified specifically.
- 1.8 There shall be a Committee for Advance Studies and Research (CASR). The CASR shall coordinate all the decisions pertaining to Post-Doctoral research and D.Sc. /D.Litt. /LL.D. Programme. The CASR shall be primarily empowered to recommend/amend the rules, regulations and ordinances to the appropriate authority.
- 1.9 Notwithstanding any provisions contained in these Regulations, on the recommendation of the Academic Council (AC) and the Board of Management (BOM) shall exercise its powers to change/amend/interpret/implement the decisions and actions concerned with academic matters, to change any or all parts of these Regulations at any time.

#### O. PDD. 2: Eligibility Criteria:

- 2.1. Eligibility: As D.Sc. /D.Litt. /LL.D. Degree is the highest Post-Doctoral degree of the MK University, Patan a candidate seeking admission to D.Sc. /D.Litt. /LL.D. programme of the University, must have pursued outstanding research in the concerned discipline and obtained the minimum qualifications required for admission as mentioned below.
  - (i) Must have obtained a Ph. D. or an equivalent degree from this University or from any other UGC recognized University of the country or from a foreign University of standing in the concerned discipline, at least five years prior to the date of

application.

(ii) Must have done post-doctoral research work, and must have made significant contribution to research in the concerned discipline as evidenced by research publications. He/She must have published 10 (Ten) research papers in Scopus/WoS/ high impact peer reviewed journals as a first author, a corresponding author or a co-author after completing his/her Ph.D. study and have an individual Scopus h-index 10 (ten) or more.

OR

Must have done post-doctoral research work and must have made significant contribution to research in the concerned discipline as evidenced by 2 (two) patents granted in relevant discipline with 05 (Five) research papers published in Scopus/ WoS/ high impact peer reviewed journals as a first author, a corresponding author or a co-author after completing his/her Ph.D. study.

OR

Must have done post-doctoral research work and must have made significant contribution to research in the concerned discipline as evidenced by successfully completed 3 (three) national/international major research projects each of Rs. 30 lakh and above as a principal investigator and/or as a coordinator.

OR

Must have done post-doctoral research work, must have made significant contribution to research in the concerned discipline as evidenced by successfully guided 5 (five) Ph. D. scholars in relevant discipline and his/her research work and efforts should have national and international recognition and have made a distinct contribution to the society and advancement of knowledge. Must have published 05 (Five) research publications in Scopus/ WoS/ high impact peer reviewed journals on his/her credit after completing his/her Ph.D. study or by having published 10 (ten) books/monograms with internationally reputed publishers in relevant discipline after completing his/her Ph.D. study.

Note: Publication in Conference proceedings, Abstract, Article in a Newsletters/ Magazines/ Newspapers will not be considered as a part of Research Publications to determine the eligibility for the said programme.

#### 2.2 Multi/Intra/Inter disciplinary Post-Doctoral Research:

- (i) Multi/intra/Inter disciplinary post-doctoral research in Science/ Engineering/ Medical/Pharmacy/ Paramedical/ Arts/ Humanities/ Social Sciences/ Education/ Law/ Linguistics etc. shall be encouraged.
- (ii) In many emerging areas that are multi/ intra/ inter disciplinary in nature, opportunities shall be provided for post-doctoral research and D.Sc. /D.Litt. /LL.D. studies. A candidate applying for such emerging areas shall specify the specific discipline in which he/she intends to pursue post-doctoral research, the subject of research being wholly or partly related to the subject of his/her Ph. D. degree qualified by the candidate. The admission under such emerging areas shall be considered only on the basis of proven ability and aptitude of the candidate for such level of research before the PDRAC after fulfilling all other applicable criteria for admission subject to the approval by the CASR and Hon. Provost.
- (iii) A Candidate who proposes to carry out research work in Multi/intra/inter disciplinary areas shall be permitted to have an advisor on the recommendation of the PDRAC. The advisor could be from the same or a different department of MK University or from any other recognized University/institution in India or abroad.
- (iv) Hon. Provost may approve, based on the recommendation of CASR, change to another faculty/subject other than the one in which the candidate has obtained his/her Ph. D.'s degree, and granting registration to such scholar for the D.Sc. /D.Litt. /LL.D. degree in an allied/ different/ concerned subject on a case to case basis.
- 2.3 Any eligible and dully admitted candidate can pursue the D.Sc. /D.Litt. /LL.D. degree along with his/her regular full-time/ part-time/ contractual/ adhoc type of job/service/assignment. For in-service candidate, No Objection Certificate is required from his/her present employer.

#### O. PDD. 3: Constitution of Post-Doctoral Research Committees (PDRC):

There shall be Post-Doctoral research committee(s) for Admission, registration, research advice, and monitoring the research progress and programme at the School/ University level for all Discipline.

3.1 Post-Doctoral Research Advisory Committee (PDRAC):

A Post-Doctoral Research Advisor Committee (PDRAC) at the University level shall be constituted as:

(i) Chairperson: Provost

(ii) Member: Dean, Research

(iii) Member: Deans of Schools

(iv) Member: Director, IQAC

(v) Hon. Provost Nominee: Two Professors from the University

(vi) Hon. Provost Nominee: One external expert members

(vii) Member Secretary: Deputy Registrar/ Assistant Registrar

The members of the committee shall hold office for a period of three years from the date of constitution of the committee or as per the discretion of Hon. Provost. This Committee shall have the following responsibilities:

- (i) Take decision on the list of eligible/ineligible candidates.
- (ii) Take Personal Interview/Viva-Voce (PI/VV) of those that have qualified in the eligibility at the MK University, Patan for recommending the names for admission.
- (iii) To assign advisor/co-advisor to the D.Sc. /D.Litt. /LL.D. research scholar or to recommend to registered for the programme as an independent scholar.
- (iv) To review the post-doctoral research proposal and approve the title and topic of post-doctoral research.
- (v) To guide the research scholar to develop the study design and methodology of post-doctoral research and identify the course(s) that he/she may have to do.
- (vi) To periodically review and assist in the progress of the post-doctoral research work of the research scholar, preferably once in a term/semester.

(vii) To scrutiny the application of postdoctoral advisor and prepare list of eligible and ineligible applicants for final approval by the Hon. Provost.

The Academic/PG section of the University shall convene the meetings of the PDRAC, maintain all minutes and records. The work of the member secretary is to guide the members and implement the procedures as per the ordinances, rules and regulations of the higher research degree D.Sc. /D.Litt. /LL.D. programme of the University. The functions of the PDRAC shall be to monitor/evaluate the progress of the D.Sc. /D.Litt. /LL.D. programme.

A post-doctoral research scholar shall appear before the PDRAC once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six-monthly progress reports shall be submitted by the PDRAC to the University with a copy to the research scholar.

In case the progress of the research scholar is unsatisfactory, the PDRAC shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the PDRAC may recommend to the University with specific reasons for cancellation of the registration and admission of the research scholar or delay the semester progression.

The recommendations of the PDRAC shall be referred to the CASR and then subsequently shall be placed before the Academic Council and the Executive Council for necessary action, if required and deemed to be fit.

#### O. PDD. 4: Procedure for admission:

- 4.1 There is no fix number of available seats for each subject for D.Sc. /D.Litt. /LL.D. programme in the University.
- 4.2 The University shall notify D.Sc. /D.Litt. /LL.D. admission announcement on University website or by an advertisement in the leading newspapers or on electronic media.
- 4.3 The candidates seeking admission to D.Sc. /D.Litt. /LL.D. programme of the University shall have to fill up the prescribed admission form designed by the MK University time to time and submit the same within the prescribed date specified in the admission announcement.

- 4.4 Those that have applied and qualified in the eligibility shall have to appear before the PDRAC for Personal Interview/Viva-Voice (PI/VV) at the MK University, Patan as per the scheduled and place specified by the University.
- 4.5 Only highly potential candidates shall be admitted to the D.Sc. /D.Litt. /LL.D. programme based on the merit position of the eligible candidates. Merit position shall be decided after completion of the entire process of Personal Interview/Viva Voce (PI/VV).
- 4.6 The admission process shall be conducted twice in a year.
- 4.7 The Personal Interview/Viva-Voce (PI/VV) of eligible candidates shall be conducted separately for each discipline by PDRAC. The interview/viva voce shall also consider the following aspects, viz. whether:
  - (i) The candidate possesses the competence for the proposed research;
  - (ii) The research work can be suitably undertaken at the University/ Institution;
  - (iii) The proposed area of research can contribute to new/additional knowledge.

At the time of PI/VV the candidates are expected to discuss their research interest/area/content before the PDRAC.

Candidate who is applying for D.Sc. /D.Litt. /LL.D. in multi/ inter/ intra disciplinary subject has to appear and pass PI/VV in the subject for which he/she wants to take admission for D.Sc. /D.Litt. /LL.D..

The passing standard in PI/VV is 50% for all categories and all faculties.

The admitted candidates shall have to pay the prescribed fees within the date specified from the date of offer of admission, failing which the admission shall be considered to be cancelled.

#### O. PDD. 5: Allocation of Research Advisor:

- 5.1 The short-listed candidates in the merit lists shall not have any automatic right for admission and enrolment for D.Sc. /D.Litt. /LL.D. programme of the University. This shall be subject to availability of resources/infrastructures etc. with the University.
- 5.2 A candidate is expected to do independent post-doctoral research work. However upon the request of the candidate or upon the recommendation of PDRAC, the University may

provide an Advisor/Co-Advisor.

- 5.3 If PDRAC fills appropriate that it is required to assign an Advisor for better implementation of the programme, they may assign Advisor/co-advisor irrespective of candidate's choice and remain binding to the scholar.
- 5.4 The allotment/allocation of advisor, if needed or assigned, shall not be left to the individual candidate or advisor. The PDRAC shall have the sole authority to decide the allocation of advisor to a candidate, subject to availability of academic/laboratory resources/expertise etc. including the acceptance by the concerned advisor, the number of candidate per advisor, required infrastructure, research interest of the scholar as indicated in the PI/VV.
- 5.5 The PDRAC may, however, consider the choice indicated by the candidate in the application, if found feasible.
- 5.6 Notwithstanding anything contained in this ordinance, no advisor or co-advisor shall be under an obligation to accept any candidate for D.Sc. /D.Litt. /LL.D. studies.
- 5.8 The workload of D.Sc. /D.Litt. /LL.D. advisor shall be determined on the basis of the policy formulated by the MK University or UGC from time to time.

#### O. PDD. 6: Procedure for Registration:

- 6.1 As soon as the candidates are admitted to the D.Sc. /D.Litt. /LL.D. programme, they shall be provisionally registered for the programme. After the title clearance by PDRAC, their D.Sc. /D.Litt. /LL.D. registration shall be confirmed. This process shall be completed within the term/semester of provisional registration.
- 6.2 A candidate admitted to D.Sc. /D.Litt. /LL.D. programme as per the procedure described earlier shall be registered as a D.Sc. /D.Litt. /LL.D. scholar by the University. The period of registration shall start from the date of admission.
- 6.3 The candidate shall be required to finalize and submit a brief research proposal containing the title, significance and scope of the topic, rationale, justification, national-international status, theory and research methodology/ techniques involved, references, bibliography, place of research, etc.. The PDRAC shall either confirm the acceptance of the research proposal or ask the scholar to make necessary changes.

- 6.4 Once the topic and proposal is finalized, the scholar will be required to fill up the prescribed registration form with the required fees.
- 6.5 A registered D.Sc. /D.Litt. /LL.D. scholar shall not be allowed to pursue any other course/programme from any University simultaneously. If any such violation is noticed, the registration of the scholar shall be cancelled automatically.
- 6.6 A scholar shall not be permitted to submit a D.Sc. /D.Litt. /LL.D. thesis for which a degree has been conferred on him/her in this or in any other University. But a scholar shall not be precluded from incorporating any work which he/she has already submitted for a degree in this or any other university, in a thesis covering a wider field, provided that he/she shall indicate in a written statement accompanying the thesis any work which has been so incorporated.

#### O. PDD. 7: Duration of the Programme:

- 7.1 The duration of the programme shall be from the date of Registration, i.e., when the candidate is admitted to the D.Sc. /D.Litt. /LL.D. programme after the payment of fee.
- 7.2 Minimum duration of the programme is four semesters/terms (two years) after which the D.Sc. /D.Litt. /LL.D. thesis can be submitted.
- 7.3 The maximum duration of the D.Sc. /D.Litt. /LL.D. programme shall be for a maximum period of four years.
- 7.4 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of one years for D.Sc. /D.Litt. /LL.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of D.Sc. /D.Litt. /LL.D. for up to 240 days.
- 7.5 Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the University concerned or at the discretion of Hon. Provost.
- 7.6 In case the scholar fails to submit the thesis within this stipulated period he/she shall have to get re–registered. For re–registration, the rules of registration applicable to a fresh applicant shall have to be followed, including submission of proposal, title clearance, thesis, fees, etc.

#### O. PDD. 8: Language of the thesis:

- 8.1 The candidate should submit the D.Sc. /D.Litt. /LL.D. thesis in English except when, it is related to an Indian language or other foreign language, where the thesis should be in the language concerned.
- 8.2 In case of Indian language or other foreign language a scholar is allowed to submit the thesis in the language concerned. Before submitting the thesis in an Indian language or other foreign language, it is must for the scholar to submit the brief summary/important findings of the thesis in English (15 to 20 pages).

#### O. PDD. 9: Fee for D.Sc. /D.Litt. /LL.D. Programme:

- 9.1 All D.Sc. /D.Litt. /LL.D. scholars shall have to pay the prescribed fee every year, till the date of submission of the D.Sc. /D.Litt. /LL.D. thesis.
- 9.2 The candidate to be admitted to the D.Sc. /D.Litt. /LL.D. programme shall have to pay the prescribed fees as prescribed by the University from time to time.
- 9.3 The fees once paid shall not be adjusted or refunded under any circumstances even, if the candidate cancels the admission.
- 9.4 Any revision of fee shall be payable by the D.Sc. /D.Litt. /LL.D. scholar during the entire period of the D D.Sc. /D.Litt. /LL.D. degree.

#### O. PDD. 10: Cancellation of Registration:

- 10.1 A candidate can cancel his/her registration by submitting formal application duly forwarded and recommended by concern advisor/dean of the School.
- 10.2 The D.Sc. /D.Litt. /LL.D. registration of a scholar is liable to be cancelled for any of the following reasons:
  - (i) Violation of discipline, conduct and rules of the Institute/Department/School/ University.
  - (ii) Non-submission of the D.Sc. /D.Litt. /LL.D. thesis within the stipulated period.
  - (iii)Non-conformity with the rules/regulations/ordinances of the D.Sc. /D.Litt. /LL.D. programme.

- (iv) Giving false information at the time of application/admission/registration.
- (v) Registration may also be cancelled on the recommendations of the PDRAC on account of lack of progress as reported by the Advisor/Co-Advisor and also after giving due opportunity to the scholar for defending his/her case.

#### O. PDD. 11: Change of Topic and Title:

- 11.1 A scholar shall select and finalize the research title in the first PDRAC either independent or in consultation with the Advisor/Co-Advisor, if any.
- 11.2 If any minor change of topic/title becomes necessary to address the desired objectives, the PDRAC may, on the recommendation of the Advisor/Co-Advisor, if any, permit to change the topic/title, prior to submission of the thesis.
- 11.3 The major change in the topic is to be approved by PDRAC and scholar has to work for four semesters/terms after the major change in topic/title is approved.

#### O. PDD. 12: Progress Review:

- 12.1 The registered research scholar has to submit progress reports after every semester/term. The report shall include an elaborate description of the reading, writing, data collection or any other work done in relation to the research. The scholar can also mention difficulties encountered, if any.
- 12.2 An independent scholar has to certify the report and mention in unambiguous terms whether the progress is satisfactory or not and whether the work done during the period under consideration is relevant and adequate or not.
- 12.3 In the case where an advisor and/or co-advisor assigned, they are expected to certify the report submitted by the scholar and mention in unambiguous terms whether the progress is satisfactory or not and whether the work done during the period under consideration is relevant and adequate or not.
- 12.4 The PDRAC shall meet to review the progress of the Post-Doctoral Research Programme of the scholar at the end of every semester/term.

#### O. PDD. 13: Research Process:

- 13.1 The work of the candidate shall comply with the following conditions to merit the award of the degree:
  - (i) It must be a substantial work making a distinct addition to learning in the concerned subject of the discipline.
  - (ii) It must be original in the sense of opening up new fields of research, or of making a marked advancement on the results of previous investigations, or of giving a new interpretation of the facts already known.
  - (iii)It must be a scholarly work of high quality.
  - (iv) It must be the work published in the form of research papers in SCOPUS/ WOS/ high impact peer reviewed journals of repute and/ or published in the form of books/ monographs, chapter contribution to books/ monographs, etc. with internationally reputed publishers, after the admission in the programme.
  - (v) It must be the work done after obtaining the Ph. D. degree as well as after the admission in the programme.
  - (vi) It must be the work done during the tenure of the D.Sc. /D.Litt. /LL.D.
  - (vii) It must not be the work, which has been previously submitted for a degree or a diploma in this or in any other University.
- 13.2 After completion of research work to the satisfaction of the advisor, the D.Sc. /D.Litt. /LL.D. scholar shall produce a thesis within a reasonable time as stipulated by the University.
- 13.3 The D.Sc. /D.Litt. /LL.D. programme culminates with the submission of a thesis of a substantial work of original research carried out by the scholar. This research work is expected to be potentially fit for publication and should stand peer review.
- 13.4 The D.Sc. /D.Litt. /LL.D. scholar shall publish a minimum of 04 research papers as a first author/ corresponding author/ co-author in a SCOPUS/WoS/ peer reviewed journal before the submission of the thesis for adjudication and produce evidence for the same in the form of acceptance letter from the publisher or the reprint/copies of the publication.
- 13.5 The D.Sc. /D.Litt. /LL.D. scholar shall have attended and presented at least 02 papers or 02 invited talks on his/her research work in national/international level seminar/ conference/symposia/colloquia, etc. before the submission of the thesis.

13.6 It is mandatory for the scholar to mention address of the concern department/school of the MK University either as a primary or secondary address in each publications resulted from the work. This is mandatory because scholar is registered at the University and advisor/co-advisor is recognized by the University.

13.7 All the relevant documents shall be submitted at the time of submission of the thesis.

#### O. PDD. 14: Submission of the Thesis:

14.1 Before submitting the thesis, a scholar has to produce Anti-Plagiarism clearance Certificate as per the Anti-plagiarism and academic dishonesty policy of the MK University for the content of the thesis.

14.2 Every scholar shall submit with his/her thesis a certificate stating that the thesis submitted is a record of original research work done by the scholar during the period of study and that the thesis has not previously formed the basis for the award to the candidate of any Degree, Diploma or other similar titles and that the thesis represents independent research work on the part of the scholar.

14.3 If work is supervised by the advisor/co-advisor, the certificate is to be duly signed by them.

14.4 The process of the submission of thesis shall be as follows:

Primary submission: 03 Spiral bound copies + 01 Soft copy (CD/Pen Drive)

to be sent to the external examiners for evaluation.

Final Submission: 02 Hard bound copies + 01 Soft copy (CD/Pen Drive)

(With all necessary corrections and suggestions directed

by the external examiners, academic information about

the scholar, Registration and publication etc).

14.5 Prior to submission of the thesis, the scholar has to make a pre- D.Sc. /D.Litt. /LL.D. presentation in the department/university/that may be open to all faculty members and research scholars for feedback and comments which may be suitably incorporated, if necessary.

14.6 The thesis shall be submitted to the University for Evaluation through the advisor.

#### O. PDD. 15: Evaluation of the Thesis:

- 15.1 The procedure for the appointment of examiners shall be undertaken soon after the scholar submits his/her thesis.
- 15.2 The thesis shall be evaluated by two external examiners for the all discipline, i.e., 01 examiner from within the State, and 01 from outside the state or country.
- 15.3 The PDRAC shall prepare a list of 08 examiners and Hon. Provost shall appoint 02 examiners, as per the above norms.
- 15.4 The external examiners are expected to send the evaluation report within 01 month from the date of receipt of the thesis. In case of undue delay by the examiner to send the report, the University may, with the approval of Hon. Provost, send the thesis to another external examiner.
- 15.5 The university shall complete the process of evaluation of the thesis including the Viva Voce within the period of 06 months from the date of submission of the thesis, unless under exceptional/unfavorable conditions. For all these, electronic communication will be preferred and remain valid.
- 15.6 The Examiners who evaluate the thesis shall report on the merit of the scholar's thesis for the D.Sc. /D.Litt. /LL.D. degree in one of the following terms:
  - (i) The thesis to be accepted for the award of D.Sc. /D.Litt. /LL.D. degree in the present form.
  - (ii) The thesis to be accepted for the award of D.Sc. /D.Litt. /LL.D. degree after minor corrections/ revisions.
  - (iii) The D.Sc. /D.Litt. /LL.D. thesis be revised and resubmitted for evaluation.
  - (iv) The D.Sc. /D.Litt. /LL.D. thesis is rejected.
- 15.7 The format of the examiner's adjudication report is to be as prescribed by the University, which shall be sent to every examiner while sending the thesis. Communications and sending of the thesis and receiving of reports through email/attachments shall be preferable and remain valid to expedite the procedure.
- 15.8 Opinion of examiners on evaluation of the thesis:
  - (i) If the thesis is commended (approved) by all the external examiners, each examiner may seek clarifications/questions, if any, to be answered at the time of Viva voce.

- (ii) In case all the external examiners have not commended the thesis (not considered the thesis acceptable for D.Sc. /D.Litt. /LL.D. degree), then the thesis shall be rejected and the registration be cancelled. However, Hon. Provost in coordination with PDRAC/CASR may reconsider the merits of the thesis for re-evaluation by appointing other examiners as per the norms of appointment of examiners.
- (iii) In case, one of the external examiners have not commended the thesis, then the thesis shall be again referred to one other external examiner to be appointed by Hon. Provost, from within the state or outside the state/India as the case may be. If these examiners commend the thesis, the scholar shall be allowed to appear for the Viva voce. If these examiners do not commend the thesis, it shall be rejected and the registration be cancelled after proper evaluation by PDRAC/ CASR.
- 15.9 If the examiner/examiners insist on any correction/revision to be made in the thesis, the same shall be made by the scholar before the open Viva voce and certified by the scholar (and advisor, if any)
- 15.10 If the Examiner/Examiners explicitly suggest/s the need for revision and re submission of the thesis for further examination, then the revised thesis, duly certified by the scholar (and advisor, if any) shall be sent to the same examiner/s for further evaluation. In the absence of such a statement or if the examiner concerned specifically instructs that the revised thesis need not be sent back to him/her, the revised thesis duly certified by the scholar (and advisor, if any) shall be examined by the PDRAC/CASR and accepted, if found in order, and the scholar shall be allowed to appear for open Viva voce.
- 15.10 Where the scholar has been asked to revise/resubmit the thesis, the same shall be done within the shortest possible period and in any case not later than 01 year from the date of the communication regarding the same by the University.
- 15.11 In case the candidate fails to submit the revised thesis within 01 year, the process of thesis evaluation gets cancelled. Then, the candidate shall submit the thesis again, along with the necessary fees, to start the process of thesis evaluation afresh.

#### O. PDD. 16: Viva Voce Examination:

16.1 On receipt of satisfactory evaluation reports, either in hard copy/ e-mail/ any e-resource, the D.Sc. /D.Litt. /LL.D. scholar shall undergo for an open Viva Voce Examination, where

he/she shall defend the research work in the thesis at University Department.

- 16.2 In cases where the thesis has been approved, and on receipt of communication from the University, the advisor/ concerned head of the University department shall coordinate the conduct of open Viva Voce Examination for the scholar.
- 16.3 The advisor/ concerned head of the University department shall fix the date and time of the Viva Voce Examination in consultation with the external examiner, who shall be appointed by Hon. Provost for conducting the open Viva Voce Examination.
- 16.4 The maximum time limit for conducting open Viva voce shall be 02 months from the date of receipt of favorable evaluation reports from the examiners. If the scholar fails to take open Viva Voce Examination within 02 months on valid grounds, Hon. Provost may permit 02 months extensions on specific request/s from the scholar through the advisor/concerned head of the University department.
- 16.5 The open Viva voce shall be held on any working day of the University. In case the open Viva voce, the examiner is not in a position to travel to the University, an open Viva voce/defense with the participation of the external examiner through online mode, video-conferencing or at a place convenient to the examiner, be arranged with the permission of Hon. Provost.
- 16.6 If the scholar passes the open viva voce/defense examination, the open viva voce examiner and the advisor/ concerned head of the University department shall consolidate the recommendations and submit the same to the University, as per the format prescribed by the University, for the award of the degree based on
  - (i) The reports of the examiners who adjudicated the thesis and
  - (ii) The evaluation of the scholar's performance in the open viva voce examination.
- 16.7 The scholar who is successful in the open viva voce examination shall be declared to have qualified for the D.Sc. /D.Litt. /LL.D. degree of the MK University.
- 16.8 If a scholar does not satisfy the Examiners' questions/queries at the open viva voce examination, he/she shall be re-examined after a period of not less than three months. The scholar shall not be declared eligible for the degree unless all the Examiners unanimously declare him/her eligible for the degree.
- 16.9 In the event of all the external examiners being unavailable for the open viva voce, a third (external) examiner from within State shall be appointed by the Provost, in consultation

with the PDRAC to conduct the open viva voce.

#### O. PDD. 17: Award of degree:

- 17.1 A candidate becomes eligible for the award of the D.Sc. /D.Litt. /LL.D. degree after fulfilling all the academic requirements prescribed by the University.
- 17.2 The D.Sc. /D.Litt. /LL.D. degree shall be awarded in the discipline of the school in which the scholar is registered for the D.Sc. /D.Litt. /LL.D. programme. The title of the D.Sc. /D.Litt. /LL.D. thesis, name of the candidate, name of the advisor, if any, and subject/school shall be mentioned in the D.Sc. /D.Litt. /LL.D.. Notification.
- 17.3 If the thesis is recommended for award of the D.Sc. /D.Litt. /LL.D. degree after the open viva voce, the Registrar, with the approval of the Provost, shall declare the result in the form of notification.
- 17.4 A certificate to this effect shall be issued by the Registrar to the successful scholar.

#### O. PDD. 18: Deposit of thesis with the UGC and the University Library:

- 18.1 Following the successful completion of the evaluation process and announcement of the award of the D.Sc. /D.Litt. /LL.D. by the University, the scholar shall submit, through the University a soft copy of the final version of D.Sc. /D.Litt. /LL.D. thesis to the UGC within a period of 30 days, for record for hosting the same in INFLIBNET accessible to all Institutions/Universities.
- 18.2 One hard/soft copy of the thesis shall be placed in the University Library.

#### O. PDD. 19: Recognition of D.Sc. / D.Litt. / LL.D. Advisor

- 19.1 Any regular faculty member of school/department of the MK University who is holding D.Sc. /D.Litt. /LL.D. degree may be recognized as post-doctoral research advisor for D.Sc. /D.Litt. /LL.D. degree.
- 19.2 Any regular faculty member of school/department of the MK University who is recognized Ph. D. guide of the MK University and fulfills the criteria O.PDD.3.1 (i) and (ii) of this ordinances may be recognized as post-doctoral research advisor for D.Sc. /D.Litt. /LL.D.

- 19.3 Hon. Provost, on the recommendation of PDRAC/CASR may recognize any scientist/professional associated with a recognized institution and other faculty members from Nationally Renowned Institutions/Universities as a D.Sc. /D.Litt. /LL.D. co-advisor subject to fulfilling the criteria O.PDD.3.1 (i) and (ii) of this ordinances.
- 19.4 Hon. Provost, on the recommendations of PDRAC/CASR may recognize as a D.Sc. /D.Litt. /LL.D. advisor/co-advisor to any eminent scholar or eminent educationalist who has given his/her valuable, noteworthy, distinct contribution in the society and advancement of knowledge in the concern/allied area.

#### O. PDD. 20: General Rules applicable:

- 20.1 The scholars shall follow the rules and regulations prescribed by the University from time to time, even if they are not included in these Ordinances. The University shall have the right to modify, change or add to the Ordinances, Rules and Regulations which shall be binding on the scholars.
- 20.2 The D.Sc. /D.Litt. /LL.D. scholars shall be entirely responsible for any duplication or plagiarism/academic dishonesty or piracy occurring in the thesis. In case any such acts are detected even after the award of the degree, the scholar shall be held accountable for the irregularity and this may even lead to cancellation of the degree. The decision of the University in this regard shall be final and binding on the scholar.
- 20.3 If any differences of opinion/dispute develop between the scholar and the advisor at any time during the course of the research work, the decision of PDRAC/CASR in the matter shall be final and binding on the scholar and the advisor.
- 20.4 Notwithstanding anything contained in these Ordinances, all matters related to the candidates shall be governed by the rules and procedures framed by the Academic Council/Board of management/ Hon. Provost that are in force at that point of time.
- 20.5 Any doubt or dispute about the interpretation of these Ordinances shall be referred to the Provost, whose decision, in his capacity as the Chairman, Academic Council, shall be final.
- 20.6 The Provost may modify, amend and/ or delete any of the clauses given in these Ordinances or add any clause(s) to these Ordinances, to facilitate the pursuit of excellence in research, provided that any such modification, amendment, deletion, and addition shall be reported to the Academic Council at its next meeting for approval.